Form 210A (10/06)

# United States Bankruptcy Court Southern District Of New York

in re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferor Name of Transferee

Deutsche Bank AG, London Branch

Empyrean Investments, LLC

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch

Court Claim # (if known): 27575 Amount of Claim: \$3,100,000.00 Date Claim Filed: September 22, 2009

Phone: N/A

New York, NY 10005 60 Wall Street 3rd Floor

Attention: Rich Vichaidith Phone: 212-250-5760 Fax: 212-797-8770

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Last Four Digits of Acct #: NVA Phone: 212-250-5760

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

August 22, 2012

Date:

Philipp Rocce Vice Provident

By: / Y Y Y Transferee's Agent DE SERVICE OF THE PROPERTY OF

Penalty for making a table statement. Fine of up to \$500,000 or enpirisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571. Michael Sunon

Managing Direction

# EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Atm: Clerk

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27575

EMPYREAN INVESTMENTS, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

# DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc.

60 Wall Street

New York, New York 10005

Attn: Rich Vichaidith

Email: richard.vichaidith@db.com

and its successors and assigns ("<u>Buyer</u>"), all right, title and interest in and to Proof of Claim Number 27575, solely to the extent of \$3,100,000.00, (the "<u>Claim</u>") against Debtor in the Case in the Bankruptey Court, or any other court with jurisdiction over the bankruptey proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptey Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule-3001 of the Federal Rules of Bankruptey Procedure, the Bankruptey Code, applicable local bankruptey rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptey Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 23 2012.

# By: By: Name: Name: Title:

# EMPYREAN INVESTMENTS, LLC

By:
Name:
Fitte:

DEUTSCHE BANK AG, LONDON BRANCH

# EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27575

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60 Wall Street

New York, New York 10005

Attn: Rich Vichaidith

Email: richard.vichaidith@db.com

and its successors and assigns ("<u>Buyer</u>"), all right, title and interest in and to Proof of Claim Number 27575, solely to the extent of \$3,100,000.00, (the "<u>Claim</u>") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 23 2012.

# DEUTSCHE BANK AG, LONDON BRANCH

By: Name: Title:	By: Name: Title:
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EMPYREAN INVESTMENTS, LLC

By:

Form 210A (10/06)

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)

(Jointly Administered)

# TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C., § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Court Claim # (if known): 27576 Amount of Claim: \$3,100,000.00 Date Claim Filed: September 22, 2009 Empyrean investments, LLC Name of Transferor Phone: N/A Name and Address where notices to transferee Deutsche Bank AG, London Branch Deutsche Bank AG, London Branch Name of Transferee Attention: Rich Vichaidith Phone: 212-250-5760 Fax: 212-797-8770 New York, NY 10005 60 Wall Street 3rd Floor should be sent

Name and Address where transferee payments should be sent (if different from above):

Last Four Digits of Acct #: N/A

Phone: 212-250-5760

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

August 22, 2012

Date:

Philipp Rocyct

Penalty for making a false statement: Fire of up to \$500,300 or imprisonment for up to 5 years, or bob., 18 U.S.C. §§ 152 & 3571 Michael Sutton

Transferee/Transferee's Agent

Š

Managing Director

# EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Special Financing Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27576

EMPYREAN INVESTMENTS, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

# DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc.

60 Wall Street

New York, New York 10005

Ann: Rich Vichaidith

Email: richard.vichaidith@db.com

and its successors and assigns ("<u>Buver</u>"), all right, title and interest in and to Proof of Claim Number 27576, solely to the extent of \$3,100,000.00, (the "<u>Claim</u>") against Debtor in the Case in the Bankruptey Court, or any other court with jurisdiction over the bankruptey proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated  $\frac{\text{August 23}_{2012}}{\text{1}}$ 

DEU	ITSCHE BANK	AG, LONDON BRANC	H		
By:	Name:	Philipp F	Roever	Name:	Michael Suffor
	Title:	Vice Pres	sident By:	Fitte:	Managing Director

EMPYREAN INVESTMENTS, LLC

By:	
• • • • • • • • • • • • • • • • • • • •	***************************************
	Name:
	Title:

### EVIDENCE OF PARTIAL TRANSFER OF CLAIM

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

TO:

Lehman Brothers Special Financing Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27576

EMPYREAN INVESTMENTS, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

# DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc.

60 Wall Street

New York, New York 10005

Attn: Rich Vichaidith

Email: richard.vichaidith@db.com

and its successors and assigns ("<u>Buyer</u>"), all right, title and interest in and to Proof of Claim Number 27576, solely to the extent of \$3,100,000.00, (the "<u>Claim</u>") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

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IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 23 2012.

# DEUTSCHE BANK AG, LONDON BRANCH

By:		By:	
,	Name: Title:	•	Name: Title:

EMPYREAN INVESTMENTS, LLC

Bv:

Form 210A (10/06)

# United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

# TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Empyrean Investments, LLC Name of Transferor Deutsche Bank AG, London Branch Name of Transferee

Name and Address where notices to transferee

should be sent:

Court Claim # (if known): 27587 Amount of Claim: \$1,338,704.00 Date Claim Filed: September 22, 2009

Deutsche Bank AG, London Branch 60 Wall Street 3<sup>rd</sup> Floor

New York, NY 10005 Attention: Rich Vichaidith Phone; 212-250-5760 Fax: 212-797-8770

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Last Four Digits of Acct #: N/A Phone: 212-250-5760

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief. Philipp Roever Vice President

By: Voc v v Transferee's Agent **187**三

22,

August

Penatryormaking a lake statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. 9§ 152 & 3571. 제한계원 등문자

Managing Drec.

# EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Special Financing Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27587

EMPYREAN INVESTMENTS, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

# DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc.

60 Wall Street

Title:

New York, New York 10005

Attn: Rich Vichaidith

Email: richard.vichaidith@db.com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 27587, solely to the extent of \$1,338,704.00, (the "Claim") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated August 23 2012.

DEUTS	CHE BANK AG, LONDO	N BRANCH	A Stable and Child	
	me: le:	Philipp Roever Vice President	By: Managing Minscoor Name: Title:	- 100
ЕМРУ	REAN INVESTMENTS, LI	.c		
By:	mě:	and the state of t		

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TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Special Financing Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27587

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# DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc. 60 Wall Street New York, New York 10005

Attn: Rich Vichaidith

Email: richard.vichaidith@db.com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 27587, solely to the extent of \$1,338,704.00, (the "Claim") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

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IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated August 23 2012.

# DEUTSCHE BANK AG, LONDON BRANCH

			4.5	
Ву: _		By:		
	Name:		Name:	
T	itle:		Title:	

EMPYREAN INVESTMENTS, LLC

By:

Court Claim # (if known); 27578 Amount of Claim; \$1,338,704.00 Date Claim Filed: September 22, 2009

United States Bankruptcy Court Southern District Of New York

Form 210A (10/06)

Case No. 08-13555 (JMP)

In re Lehman Brothers Holdings Inc., et al.,

(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Deutsche Bank AG, London Branch

Empyrean investments, LLC

Name of Transferor

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch 60 Wall Street 3" Floor

New York, NY 10005

Phone: N/A

Attention: Rich Vichaldith Phone: 212-250-5760 Fax: 212-797-8770

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Last Four Digits of Acct #: N/A Phone: 212-250-5760

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Philipp Roover 4

August 22,

Date:

By: Y COVY Transferee's Agent

Penalty for making a false statement. Fine of up to \$500,000 or imprisoument for up to 5 years, or both; 18 U.S.C., §§ 152 & 3571.

Managing Director Michael Sulfon

# 08-13555-mg Doc 30332 Filed 08/23/12 Entered 08/23/12 10:33:55 Main Document Pg 11 of 12

# EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27578

EMPYREAN INVESTMENTS, LLC and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

# DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc.

60 Wall Street

New York, New York 10005

Attn: Rich Vichaidith

Email: richard.vichaidith@db.com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 27578, solely to the extent of \$1,338,704.00, (the "Claim") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptey Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptey Procedure, the Bankruptey Code, applicable local bankruptey rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptey Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated August 23 2012.

# DEUTSCHE BANK AG, LONDON BRANCH

Ву:	Name: Title:	By;	Name: Title:	
EM	PYREAN INVESTMENTS, LLC			
Ву:	Name: Title:		·	

# 08-13555-mg Doc 30332 Filed 08/23/12 Entered 08/23/12 10:33:55 Main Document Pg 12 of 12

### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number 27578

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c/o Deutsche Bank Securities Inc. 60 Wall Street New York, New York 10005

Attn: Rich Vichaidith

Email: richard.vichaidith@db.com

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IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated August 23 2012.

# DEUTSCHE BANK AG, LONDON BRANCH

West Transport

EMPYREAN INVESTMENTS, LLC

By: